

022604  
16562

U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL**

*Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR 1.53(b))*

17497 U.S.PTO  
10/787481

022604

**ADDRESS TO:**

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

Attorney Docket No. 04-111

First Named Inventor Triplett

Express Mail No. EV334694857US

<b>APPLICATION ELEMENTS</b>		<b>ACCOMPANYING APPLICATION PARTS</b>
1. <input checked="" type="checkbox"/> Transmittal Form with Fee 2. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 35] 3. <input checked="" type="checkbox"/> Drawings [Total Sheets 5] 4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 1] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed</li> <li>b. <input type="checkbox"/> Copy from prior application</li> </ul> <b>[Note Boxes 5 and 18 below]</b> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application</li> </ul>		10. <input checked="" type="checkbox"/> Assignment Papers 11. <input checked="" type="checkbox"/> Power of Attorney 12. <input type="checkbox"/> English Translation Document (if applicable) 13. <input type="checkbox"/> Information Disclosure Statement (IDS) <ul style="list-style-type: none"> <li><input type="checkbox"/> PTO-1449 Form</li> <li><input type="checkbox"/> Copies of IDS Citations</li> </ul> 14. <input type="checkbox"/> Preliminary Amendment 15. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized) 16. <input type="checkbox"/> Certified Copy of Priority Document(s) 17. <input checked="" type="checkbox"/> A Request for non-publication pursuant to 35 U.S.C. § 122(b)(2)(B)(i) 18. <input checked="" type="checkbox"/> Other: Application Data Sheet
5. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 6. <input type="checkbox"/> Application Data Sheet (See 37 CFR 1.76) 7. <input type="checkbox"/> Computer Code Listing (See 1.96) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Microfiche Appendix</li> <li>b. <input type="checkbox"/> CD-Rom (in duplicate, with separate transmittal)</li> </ul> 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy</li> <li>c. <input type="checkbox"/> Statement verifying above copies</li> </ul> 9. <input checked="" type="checkbox"/> Small Entity Status <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> is claimed</li> <li><input type="checkbox"/> Statement filed in prior application; status still proper and desired</li> <li><input type="checkbox"/> is no longer claimed.</li> </ul>		
19. <input type="checkbox"/> This is a CONTINUING APPLICATION. Please note the following: <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> This is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior U.S. Patent Application Serial No..</li> <li>b. <input type="checkbox"/> Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one claim must remain.)</li> <li>c. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of application Serial No.</li> <li>d. <input type="checkbox"/> The prior application is assigned of record to _____</li> </ul>		

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 04-111

## APPLICATION FEES

BASIC FEE				\$ 770.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	50 -20 =	30	x \$18.00	\$ 540.00
Independent Claims	3 - 3 =		x \$86.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable				+\$290.00
				Total of above calculations = \$ 1310.00
				Reduction by 50% for filing by small entity = \$(655.00)
<input checked="" type="checkbox"/> Assignment fee if applicable				+ \$40.00 \$ 40.00
				TOTAL = \$ 695.00

20.  Please charge my Deposit Account No. 13-2490 in the amount of \$21.  A check in the amount of \$695.00 is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

23. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

## 24. CERTIFICATE OF MAILING

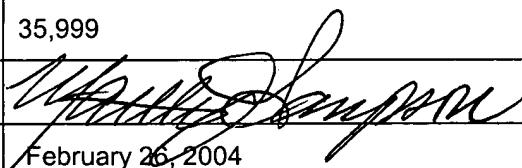
I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.

## 25. USPTO CUSTOMER NUMBER

39310

Trading Technologies International, Inc.

## 26. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Matthew J. Sampson
Reg. No.	35,999
Signature	
Date	February 26, 2004

UTIL (Rev. 11/21/00)

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	Attorney Docket No. 04-111 First Named Inventor Mark W. Triplett Express Mail Label No. EV334694857US														
<p>I hereby certify that the invention disclosed in the attached application <b>has not and will not be</b> the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).</p>															
<table border="1"><tr><td>Name</td><td>McDonnell Boehnen Hulbert &amp; Berghoff</td></tr><tr><td>Address</td><td>32<sup>nd</sup> Floor, 300 South Wacker Drive</td></tr><tr><td>City, State, Zip</td><td>Chicago, Illinois 60606</td></tr><tr><td colspan="2">SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</td></tr><tr><td>Name/Reg. No.</td><td>Matthew J. Sampson 35,999</td></tr><tr><td>Signature</td><td></td></tr><tr><td>Date</td><td>February 26, 2004</td></tr></table>		Name	McDonnell Boehnen Hulbert & Berghoff	Address	32 <sup>nd</sup> Floor, 300 South Wacker Drive	City, State, Zip	Chicago, Illinois 60606	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED		Name/Reg. No.	Matthew J. Sampson 35,999	Signature		Date	February 26, 2004
Name	McDonnell Boehnen Hulbert & Berghoff														
Address	32 <sup>nd</sup> Floor, 300 South Wacker Drive														
City, State, Zip	Chicago, Illinois 60606														
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED															
Name/Reg. No.	Matthew J. Sampson 35,999														
Signature															
Date	February 26, 2004														

Name	McDonnell Boehnen Hulbert & Berghoff
Address	32 <sup>nd</sup> Floor, 300 South Wacker Drive
City, State, Zip	Chicago, Illinois 60606
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name/Reg. No.	Matthew J. Sampson 35,999
Signature	
Date	February 26, 2004